

**Did you
know?**

***You can file this form online
instead of on paper***

If you already have a MEC Online ID (ex: F#####) and Password from a previous PFD filing, simply log-in using that information.

If you are a new e-filer follow the steps below to create your filer account:

1. Go to our website at www.mec.mo.gov
2. Select *LOGIN* on the top right.
3. Select *PFD E-Filer Account Request* from the drop down menu.
4. Complete the required information
5. Submit by selecting *Submit Account Information*
6. Upon staff processing the request, a MEC Online ID and Password will be sent to the email address provided. You will then be able to file your PFD online.

If you have questions, call 800-392-8660

or

email pfdonline@mec.mo.gov

08/2017



Office Use:

Financial Disclosure Statement for Political Subdivisions - 105.485(4), RSMo

1. Statement Information (select one)

Type: New Amended

2. Filing Status & Time Period Covered (select one & insert time period)

A. Filing Status

- Annual Filer:** file from Jan 1 to Dec 31 of prior year (if no longer serving, enter the time period served), due by May 1
- Newly Appointed/Employed:** file for calendar year before start date, due within 30 days
- Incumbent Candidate:** file from Jan 1 of prior year to closing date for candidacy (may be longer than 12-month period), due within 14 days of closing date for candidacy
- New Candidate:** file for the 12-month period before the closing date for candidacy, due within 14 days of closing date for candidacy

B. Time Period Covered: From ___/___/___ to ___/___/___ (mm/dd/yyyy)

3. Filer Information

Filer's name (First, Middle, Last) _____

Spouse's name (First, Middle, Last) _____

Mailing address _____

City, State, Zip _____

Dependent child's name* (First, Middle, Last) _____

Dependent child's name* (First, Middle, Last) _____

Political Subdivision or State Agency _____

Title (Position/Office Seeking) _____

- Check if spouse is filing separate from yourself (if your spouse is not required to file a PFD, this statement **MUST** disclose his/her information).
 *Includes all children, stepchildren, foster children and wards under the age of eighteen residing in the person's household and who receive in excess of 50% of their support from the person.

4. Transaction Information

A. List the transactions, valued at more than \$500, you, your spouse, or any relative within the first degree of blood or marriage had with the political subdivision listed above. Do not include compensation received as an employee, payment of taxes, fees or penalties or transfers for no consideration.

 Date (mm/dd/yyyy) Parties involved in transaction

 Date (mm/dd/yyyy) Parties involved in transaction

B. List the transactions for any business entity, in which you, your spouse, or dependent child(ren) held a substantial interest, that conducted business with the political subdivision listed above valued at more than \$500. Do not include payments of taxes, fees or penalties due to the political subdivision or transactions involving payment for providing utility service to the political subdivision or transfers for no consideration. (NOTE: Substantial interest includes ownership of 10% of the business entity or interest valued at \$10,000 or more, or from which a salary, gratuity or other compensation of \$5,000 or more is paid per calendar year).

 Date (mm/dd/yyyy) Name of Business Parties involved in transaction

 Date (mm/dd/yyyy) Name of Business Parties involved in transaction

5. Signature (select one, sign & date)

- I affirm and attest under penalty of perjury that information and facts in this report are complete, true, and accurate. I further acknowledge that I am aware that any false statement or declaration made herein is punishable under Ch. 575 RSMo.
- I affirm and attest under penalty of perjury that information and facts in this report are complete, true, and accurate and that my spouse has refused or failed to provide information concerning his or her financial interest and that I have no working knowledge of such interests. I further acknowledge that I am aware that any false statement or declaration made herein is punishable under Ch. 575 RSMo.

 Filer's Signature (Required)

 Date (mm/dd/yyyy)

NOTE: The following information is required from the **Chief Administrative Officer** and **Chief Purchasing Officer** *only*. Include information for filer, spouse and dependent child(ren).

6. Employment

List the name and address of each employer from whom you, your spouse, or dependent child(ren) received income of \$1,000 or more during the time period covered by this statement.

_____ Employer Name	_____ Employer Address/City/State/Zip	_____ Person's name whom received income
_____ Employer Name	_____ Employer Address/City/State/Zip	_____ Person's name whom received income

7. Sole Proprietorships

List each sole proprietorship owned by you, your spouse or dependent child(ren) during the time period covered by this statement.

_____ Sole Proprietorship Name	_____ Sole Proprietorship Address/City/State/Zip
_____ Sole Proprietorship Name	_____ Sole Proprietorship Address/City/State/Zip

8. General Partnerships, Joint Ventures

List each general partnership and joint venture in which you, your spouse or dependent child(ren) were a partner or participant, and the names of partners or co-participants, unless such names and addresses are filed with the Secretary of State, during the time period covered by this statement.

_____ General Partnership or Joint Venture Name	_____ Address/City/State/Zip	_____ Nature of Business	_____ Partner/Coparticipant's Name & Address	_____ Party Involved
_____ General Partnership or Joint Venture Name	_____ Address/City/State/Zip	_____ Nature of Business	_____ Partner/Coparticipant's Name & Address	_____ Party Involved

9. Stocks, Bond & Other holdings

EXCEPTION: Interest in any qualified plan or annuity pursuant to the Employees Retirement Income Security Act (ERISA) is not required to be listed.

A. *Limited Partnerships, Closely-held Corporations:* List the name of any closely-held corporation/limited partnership in which you, your spouse, or dependent child(ren) own ten percent (10%) or more of any class of the outstanding stock or units during the time period covered by this statement.

_____ Limited Partnership/Closely-held Corporation Name	_____ Address/City/State/Zip	_____ Nature of business	_____ Party Involved
_____ Limited Partnership/Closely-held Corporation Name	_____ Address/City/State/Zip	_____ Nature of business	_____ Party Involved

B. *Publicly Traded Corporation or Limited Partnership:* List the name of any publicly traded corporation or limited partnership which is listed on a regulated stock exchange or automated quotation system in which you, your spouse or dependent child(ren) own two percent (2%) or more of any class of outstanding stock, units or other equity interests during the time period covered by this statement.

_____ Corporation/Limited Partnership Name	_____ Party Involved
_____ Corporation/Limited Partnership Name	_____ Party Involved

10. Corporations

List the name and address of each corporation for which you, your spouse, or dependent child(ren) served in the capacity of a director, officer or receiver during the time period covered by this statement.

_____ Corporation Name	_____ Corporation Address/City/State/Zip	_____ Person's name who served in this capacity
_____ Corporation Name	_____ Corporation Address/City/State/Zip	_____ Person's name who served in this capacity

This form is required to be filed with the Missouri Ethics Commission and with the governing body of your political subdivision. All elected and appointed officials as well as employees of a political subdivision must comply with §105.454 RSMo., on conflicts of interest and their own local code of ethics.

SCHOOL BOARD ELECTIONS

(Notice of Candidate's Obligation to File a Financial Interest Statement)

(District has adopted MSBA policy BBFA, certified the policy and mailed it to the Missouri Ethics Commission biennially by the statutory deadline)

You *must* file the short-form version of the Missouri Ethics Commission's (MEC's) financial interest statement with the MEC by 5:00 p.m. on February 2nd (date that is 14 days after close of filing for office). This form is also titled "Financial Disclosure Statement for Political Subdivisions." Pursuant to ' 105.485.4, RSMo., the Ozark RVI Schools has adopted a policy that establishes and makes public its own method of disclosing potential conflicts of interest and substantial interests, therefore excluding the district's Board members and candidates from some of the more onerous statutory disclosure requirements. *A copy of the policy (BBFA) is attached to this notice or is available on the district's website.*

The district's policy and state law still require Board members to disclose some information to the MEC, and it is your obligation to carefully review the district's policy and the MEC financial interest statement form and make the required disclosures to the MEC. You are also required by law to provide a copy of the financial interest statement you file with the MEC to the district. The district requires that you complete this form even if you do not have any transactions to disclose on the form.

You may obtain a copy of the financial interest statement form on the MEC website at www.mec.mo.gov, and you may file the statement electronically on the MEC website. If you decide to mail your financial interest statement to the MEC, it must be postmarked by midnight on the day prior to the deadline. Mail it to: Missouri Ethics Commission, P.O. Box 1370, Jefferson City, MO, 65102. Do not forget to also provide the district a copy of the financial interest statement you file with the MEC.

For more detailed information about the filing requirements, please review the summary of laws provided to you when you signed up as a candidate or contact the MEC at 800-392-8660.

* * * * *

Note: The reader is encouraged to review policies and/or procedures for related information in this administrative area.